



**METROPOLITAN  
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April 12, 2006

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Bay Area Toll Authority

*Therese W. McMillan*  
Deputy Executive Director, Policy

Mr. Leslie Rogers  
Regional Administrator  
Federal Transit Administration  
201 Mission St., Ste 2210  
San Francisco, CA 94105

RE: FTA Section 5307 1% Transit Enhancement Set-asides

Dear Leslie:

The Safe, Accountable, Flexible, Efficient Transportation Equity Act Transportation Equity Act (SAFETEA) requires that one percent of section 5307 funds apportioned to urbanized areas (UA) with populations of 200,000 or more be spent on eligible transit enhancement activities or projects. The MTC region has five urbanized areas that are subject to this provision: San Francisco-Oakland (SF/O), San Jose (SJ), Concord, Antioch, and Santa Rosa (SR). As the designated fund recipient for these urbanized areas, MTC has programmed the following funds from our annual apportionment for this purpose.

<b>1% Enhancement Certification for the MTC Region's Large Urbanized Areas</b>					
<b><u>Fiscal Year</u></b>	<b><u>San Francisco- Oakland</u></b>	<b><u>San Jose</u></b>	<b><u>Concord</u></b>	<b><u>Antioch</u></b>	<b><u>Santa Rosa</u></b>
FY 2004-05	1,216,184	365,085	191,524	55,434	32,002
FY 2005-06	1,160,825	340,953	180,157	53,337	32,949
	\$2,377,009	\$706,038	\$371,681	\$108,771	\$64,874

The following projects have been identified as eligible for the 1% Transit Enhancement set-aside:

**San Francisco/Oakland Urbanized Area**

**FY 2004-05 Program**

BART's ADA Accessibility Enhancements \$1,216,184

**FY 2005-06 Program**

BART's ADA Accessibility Enhancements \$1,160,825

**San Jose Urbanized Area**

**FY 2004-05 Program**

SCVTA's Tamien Station Enhancements	\$121,656
SCVTA's Caltrain Station Enhancements	232,429
SCVTA's Vasona Water Tower Tank Preservations	<u>11,000</u>
	\$365,085

**FY 2005-06 Program**

SCVTA's ADA Bus Stop Improvements	\$240,000
SCVTA's De Anza Transit Center Enhancements	<u>100,953</u>
	\$340,953

**Concord Urbanized Area**

**FY 2004-05**

BART's ADA Accessibility Enhancements	\$191,524
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**FY 2005-06**

BART's ADA Accessibility Enhancements	\$180,157
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**Antioch Urbanized Area**

**FY 2004-05**

BART's ADA Accessibility Enhancements	\$55,434
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**FY 2005-06**

BART's ADA Accessibility Enhancements	\$53,337
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**Santa Rosa Urbanized Area**

**FY 2004-05**

Santa Rosa City Bus - Bus Stop Facilities Enhancement	\$24,002
Sonoma County - Cloverdale Transit Center Enhancement	<u>8,000</u>
	\$32,002

**FY 2005-06**

Santa Rosa City Bus - Bus Stop Facilities Enhancement	\$24,712
Sonoma County - Bus Stop Improvement Project	<u>8,237</u>
	\$32,949

Applicants in urbanized areas with populations of 200,000 or more who receive Section 5307 funds are required to report to FTA the transit enhancement projects that have been scheduled to move forward during the preceding fiscal year. As the designated recipient, MTC is submitting this letter on behalf of the applicants in the

Mr. Leslie Rogers  
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San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa Urbanized Areas. This notification will serve as the required certification and assurances, among others, that operators must submit prior to release of their grants.

SAFETEA-LU requires that 1% of Section 5307 funds apportioned to large urbanized areas be used for eligible transit enhancements. Under TEA-21, this requirement applied to 1% of each large urbanized area, and not specifically to each eligible grantee. The Federal Transit Administration's November 30, 2005 Federal Register states that with the implementation of SAFETEA-LU that "grantees in UZAs with population of 200,000 or more will be certifying they are spending not less than one percent of section 5307 funds for transit enhancements and will be required to submit an annual report on how they spent the money." As, you know, the Bay Area has some 22 recipients in 5 large urbanized areas. In some urbanized areas, there are as many as 10 eligible recipients. MTC made a comment in response to this requirements and suggesting a more streamlined approach so that the 1% would apply to each large urbanized area rather than individual operators. This will allow the Bay Area to concentrate the funds on projects that both meet the federal requirement but also make a substantive impact on local enhancement priorities.

MTC had already programmed the FY 2005-06 year prior to publication of the November 30, 2005 federal register. Should FTA maintain its current position in the final rulemaking, we will adjust our programming at that time.

We also understand that FTA requires a report showing the progress for each designated enhancement project. The November 30th Federal Register states "the report must be submitted with the Federal fiscal year's final quarterly progress report in the TEAM System." We have notified the project sponsors concerned of this requirement. In the interim, we have provided a report (Attachment 1) which captures the requirements summarized in the Federal Register.

If you have any questions regarding the above program, please contact Kate Miller of my staff at 510-464-7722.

Sincerely,



Alix Bockelman  
Manager  
Programming & Allocations

AB:KM

cc: John Hunt/FTA  
TFWG Distribution

Attachment 1

GRANTEE	FTA GRANT NO.	ENHANCEMENT CATEGORY	DESCRIPTION OF PROJECT	ACTIVITY LINE ITEM CODE	AMOUNT PROGRAMMED	AMOUNT AWARDED
<b>San Francisco-Oakland Urbanized Area</b>						
BART	CA-90-Y339	9) Accessibility	Accessible Enh	12.93.09	1,216,184	1,061,741
BART		9) Accessibility	Accessible Enh		1,160,825	
<b>San Jose Urbanized Area</b>						
SCVTA	CA-90-Y346	3) Lighting	Tamien Station Enhancements	12.93.02	121,656	121,656
SCVTA	CA-90-Y346	3) Landscaping	Caltrain Stn Enhancements	12.93.02	232,429	232,429
SCVTA	CA-90-Y346	1) Historic Rehab	Vasona Water Tower Tank Preservations	12.94.01	11,000	11,000
SCVTA		9) Accessibility	ADA Bus Stop Improvements		240,000	
SCVTA			De Anza Transit Center Enhancement		100,953	
<b>Concord Urbanized Area</b>						
BART	CA-90-Y339	9) Accessibility	Accessible Enh.	12.93.09	191,524	191,524
BART		9) Accessibility	Accessible Enh.		180,157	
<b>Antioch Urbanized Area</b>						
BART	CA-90-Y339	9) Accessibility	Accessible Enh.	12.93.09	55,434	55,434
BART		9) Accessibility	Accessible Enh.		53,337	
<b>Santa Rosa Urbanized Area</b>						
SR City Bus	CA-90-Y352	2) Bus Stop Enhancements	Bus Stop Facilities Enhancement	11.92.09	24,002	Will obligate in FY06
Sonoma County	CA-90-Y406-01	2) New Bus Shelter, Benches, and Landscaping	Cloverdale Transit Center Enhancement	11.94.03	8,000	8,000
SR City Bus		2) Bus Stop Enhancements	Bus Stop Facilities Enhancement	11.92.09	24,712	
Sonoma County		2) New Bus Shelter, Benches, and Landscaping	Bus Stop Improvement	11.92.09	8,237	